

Docket No.: 074782-0021

PATENT

JFYX



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : Customer Number: 53080
Tadashi YANO, et al. : Confirmation Number: Not Yet Assigned
Application No.: 10/585,456 : Group Art Unit: Not Yet Assigned
Filed: July 07, 2006 : Examiner: Not Yet Assigned
For: LED LAMP :

REQUEST FOR OFFICIAL FILING RECEIPT

Mail Stop OFR
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

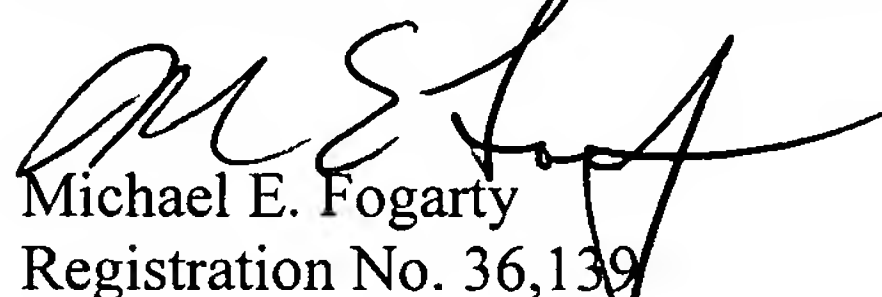
Sir:

The above-referenced patent application was filed in the U.S. Patent and Trademark Office on July 07, 2006, as evidenced by the attached copies of the return receipt postcard and Transmittal Letter. To date, the undersigned has not received an Official Filing Receipt regarding this application.

It is respectfully requested that the undersigned be furnished with an Official Filing Receipt as soon as possible.

Respectfully submitted,

McDERMOTT WILL & EMERY LLP


Michael E. Fogarty
Registration No. 36,139

600 13th Street, N.W.
Washington, DC 20005-3096
Phone: 202.756.8000 MEF:jwp
Facsimile: 202.756.8087
Date: September 10, 2007

**Please recognize our Customer No. 53080
as our correspondence address.**

Applicant: Tadashi YANO, et al. Docket No. 074782-0021 Serial No. Not Yet Assigned

Title: LED LAMP Patent No. _____

Date Sent: 7/7/2006 ☒ Hand Carried ☐ Fax ☐ Electronic ☐ Cert. of Mailing ☐ 1st Class Mail ☐ Express Mail No. _____

☒ Transmittal Letter

New Patent App ☐ Utility ☐ Design ☐ Cont. ☐ CIP ☐ Div. ☒ PCT ☐ RCE ☐ Prov

☐ Other: _____ ☐ Letter submitting _____ pages of drawings

37 pages of Specification ☐ Req. for Approval of Drawing Amendments

5 pages of Claims ☐ Req. for Oral Hearing

1 pages of Abstract ☐ Not. of Appeal ☐ Appeal Brief

17 pages of Formal/Informal Drawings ☐ Rule 312 Amendment/Letter

☐ Small Entity ☒ Large Entity ☐ Req. for Acknowledgement of Cited Art

☒ Declaration/Power of Attorney ☒ Written Opinion (w/ English Translation)

☐ Recordation of Assignment/Security Agreement ☒ Front Page of the International Application

☒ Information Disclosure Statement ☒ PCT/IB/301

1 Form PTO 1449 ☒ PCT/IB/304

13 References attached ☒ PCT/IB/308

☒ Preliminary Amendment ☒ PCT/IB/332

☐ Response to Missing Parts Notice ☒ PCT/IPEA/401

☐ Resp. to Notice to Correct App. Papers ☒ PCT Request

☐ Certified Copy of Priority Doc. ☒ International Search Report

☐ Claim for Convention Priority

☐ Response/Amendment to Office Action of _____

☐ Request for _____ month Extension of Time

Check for	\$	<input checked="" type="checkbox"/> Charge Deposit Acct. 500417	\$ 1260.00	Atty Init.	MEF	Tkpr. #	3328	Secy. or PL:	SNourse
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CMS Descrip.:	1-300.00	31-400.00	32-200.00	3-360.00	EARLY SERIAL NUMBER
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THE PATENT AND TRADEMARK OFFICE DATE STAMPED HEREON IS ACKNOWLEDGEMENT THAT THE ITEMS, CHECKED ABOVE, WERE RECEIVED BY THE PTO ON THE DATE STAMPED.

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371

074782-0021

U.S. APPLIC. NO. (if known, see 37 CFR 1.5)
Not Yet Assigned

INTERNATIONAL APPLICATION NO

PCT/JP2004/019088

INTERNATIONAL FILING DATE

December 21, 2004

PRIORITY DATE CLAIMED

January 7, 2004

TITLE OF INVENTION

LED LAMP

APPLICANTS FOR DO/EO/US

Tadashi YANO, Masanori SHIMIZU, Kiyoshi TAKAHASHI

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is attached herewith (required only if not transmitted by the International Bureau).
 - b. ☒ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not transmitted by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendment has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ An English translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern other document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A preliminary amendment.
14. ☐ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825.
18. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English translation of the International Application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information.
 - 1) Written Opinion (w/ English Translation)
 - 2) Front Page of the International Application
 - 3) PCT/IB/301
 - 4) PCT/IB/304
 - 5) PCT/IB/308
 - 6) PCT/IB/332
 - 7) PCT/IPEA/401
 - 8) PCT Request
 - 9) International Search Report

U.S. APPLIC. NO. (if known, see 37 CFR 1.50) Not Yet Assigned		INTERNATIONAL APPLICATION NO. PCT/JP2004/019088		ATTORNEY'S DOCKET NUMBER 074782-0021	
				CALCULATIONS	PTO USE ONLY
21. <input checked="" type="checkbox"/> The following fees are submitted:					
<input checked="" type="checkbox"/> Basic National Stage Fee		\$300	\$300		
22. <input checked="" type="checkbox"/> Examination Fee					
If the written opinion prepared by ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)				\$0	\$200
All other situations				\$200	
23. <input checked="" type="checkbox"/> Search Fee (37CFR 1.492(b))					
If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)				\$0	\$400
Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority				\$100	
International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB				\$400	
All other situations				\$500	
TOTAL OF 21, 22 and 23 =				\$900	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or compute program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.				\$	
Total Sheets	Extra Sheets	Number of each additional 50 or fraction Thereof (round up to a whole number)	RATE		
-100	/50=		X\$250	\$	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total Claims	-20 =		x \$50.00	\$	
Independent Claims	-3 =		x \$200.00	\$	
Multiple dependent claim(s) (if applicable)			+ \$360.00	\$360	
TOTAL OF ABOVE CALCULATIONS =				\$1260	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.				\$	
SUBTOTAL =				\$1260	
Processing fee of \$130.00 for furnishing the English translation later than the 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				+	\$
TOTAL NATIONAL FEE =					
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				+	\$
TOTAL FEES ENCLOSED =				\$1260	
				Amount to be refunded	\$
				Amount to be charged	\$1260
a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed.					
b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>500417</u> in the amount of \$1260 to cover the above fees. A duplicate copy of this sheet is enclosed.					
c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>500417</u> . A duplicate copy of this sheet is enclosed.					
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.					
SEND ALL CORRESPONDENCE TO:					
Customer Number 20277					
Telephone: 202.756.8000					
Facsimile: 202.756.8087					
SIGNATURE Michael E. Fogarty					
NAME 36,139					
REGISTRATION NUMBER					